

## **REMARKS**

Claims 1 – 13, 15 – 19, 23, 33 – 34, and 45 are in the application. Claim 1 is currently amended; claims 5, 13, 23, 33, 34, and 45 were previously presented; claims 14, 20 – 22, 24 – 32, and 35 – 44 are canceled; and claims 2 – 4, 6 – 12, and 15 – 19 remain unchanged from the original versions thereof. Claim 1 is the independent claim herein.

No new matter has been added to the application as a result of the amendments submitted herewith. In particular, support for the amendment of claim 1 may be found in the Specification in the Abstract and paragraphs [0076] – [0077].

Reconsideration and further examination are respectfully requested.

### **Claim Rejections – 35 USC § 103**

Claims 1 – 13, 15 – 19, 23, and 45 were rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,721,713 B1 to Guheen et al. (hereinafter, Guheen), and further in view U.S. Publication No. 2002/0158918 A1 to Feibush et al. (hereinafter, Feibush). This rejection is traversed.

Regarding claim 1, Applicant notes that claim 1 relates to a method including selecting a first corporate entity information type that defines a first corporate entity; selecting a second corporate entity information type that defines a second corporate entity; selecting a corporate entity relationship information type that defines a relationship between the first and second corporate entity information types; displaying the information types in a graphical user interface view with predetermined indicia, the indicia graphically providing an indication of a connection between the first and second corporate entity information types and a strength of the relationship; and providing an alteration mechanism to dynamically change an information type, chosen from the group comprising corporate entity, corporate entity relationship, selection, grouping,

arrangement and view type to be displayed in the graphical user interface view. The method also includes an operation of constructing a financial product based on the selected and displayed information types.

Thus, it is clear that the claimed method includes constructing a financial product based on the selected and displayed information types. As disclosed in the Specification at paragraphs [0076] and [0077], the relationships of the first and second corporate entity information types are selected and the strength of the relationship is graphically displayed by, for example, a graduated line thickness, color, pattern, shape, and size of a node. Additionally, a financial product is constructed based on such selected and displayed information types.

Contrary to the claimed invention, the cited and relied upon Guheen and Feibush do not disclose or even suggest the claimed “constructing a financial product based on said selected and displayed information types”.

Thus, it is clear that Guheen and Feibush do not disclose or suggest, at least, the claimed constructing a financial product based on the selected and displayed information types. Therefore, Applicant respectfully submits that Guheen and Feibush do not render claim 1 obvious under 35 USC 103(a). Claims 2 – 13, 15 – 19, 23, and 45 depend from claim 1. Applicant respectfully submits that claims 2 – 13, 15 – 19, 23, and 45 are also patentable over Guheen and Feibush under 35 USC 103(a) for at least depending from an allowable base claim.

Therefore, the reconsideration and withdrawal of the rejection of the subject claims under 35 USC 103(a) are requested, as well as the allowance of same.

Claims 33 and 34 were rejected under 35 U.S.C. 103(a) as being unpatentable over Guheen and Feibush as applied to claim 1 above, and further in view of U.S. Patent No. 6,317,726 B1 to O'Shaughnessy (hereinafter, O'Shaughnessy). This rejection is traversed.

Inasmuch as Applicant has clearly demonstrated that the cited and relied upon Guheen and Feibush do not disclose that for which they were cited and relied upon for disclosing, Applicant, submits that the combination of Guheen and Feibush, and O'Shaughnessy fails to render claims 33 and 34 obvious. This is true since claims 33 and 34 include the language of their base claim. Furthermore, O'Shaughnessy does not correct the failings of Guheen and Feibush.

Accordingly, Applicant requests the reconsideration and withdrawal of the rejection of claim 17 under 35 USC 103(a).

## **C O N C L U S I O N**

Accordingly, Applicants respectfully request allowance of the pending claims. If any issues remain, or if the Examiner has any further suggestions for expediting allowance of the present application, the Examiner is kindly invited to contact the undersigned via telephone at (203) 972-5985.

Respectfully submitted,

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Date

/Randolph P. Calhoun/  
Randolph P. Calhoun  
Registration No. 45,371  
Buckley, Maschoff & Talwalkar LLC  
50 Locust Avenue  
New Canaan, CT 06840  
(203) 972-5985